DOCKET NO.: CRTW-0004

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

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MAY 1 4 2001

**Technology Center 2100** 

Shawn D. Cartwright
Serial No.: 09/676,448

**Group Art Unit: 2161** 

Filed: September 29, 2000

**Examiner: Not Yet Assigned** 

For: SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND

TRANSACTING THE SAME

I, George J. Awad, Registration No. 46,528 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On May 1, 2001

George 5. Awad

Registration No. 46.5

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

## PRELIMINARY AMENDMENT

Please amend this application as follows:

## IN THE CLAIMS:

Please add the following claims:

-- 44. The system recited in claim 6, wherein said transaction system comprises any of a direct-pay transaction system, a credit-based transaction system, an incentive-based transaction system, and an auction based transaction system.